

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

ALAN SWANSTROM,)	
)	No. C05-0233RSL
Plaintiff,)	
v.)	ORDER DENYING REMAND
)	
THE BOEING COMPANY, a foreign)	
corporation,)	
)	
Defendants.)	

This matter comes before the Court on plaintiff’s “Answer to Notice of Removal and Motion to Remand to State Court” (“Motion to Remand”). Plaintiff is suing his former employer for negligent termination and investigation related to the termination of his employment. Defendant timely removed this case from King County Superior Court, arguing that plaintiff’s claims necessarily implicate the collective bargaining agreement (“CBA”) negotiated between the International Association of Machinists and Aerospace Workers, AFL-CIO (“IAM”) and defendant. According to defendant, plaintiff’s claims are therefore preempted by Section 301 of the Labor Management Relations Act.

Section 301 provides:

Suits for violation of contracts between an employer and a labor organization representing employees in an industry affecting commerce as defined in this chapter, or between any such labor organizations, may be brought in any district court of the United States having jurisdiction of the parties, without respect of the

1 amount in controversy or without regard to the citizenship of the parties.

2 29 U.S.C. § 185(a). All “state claims that are based directly on rights created by a collective
3 bargaining agreement, and . . . claims that are substantially dependent on an interpretation of a
4 collective bargaining agreement” are preempted under this section. Aguilera v. Pirelli
5 Armstrong Tire Corp., 223 F.3d 1010 (9th Cir. 2000). The purpose of Section 301 preemption is
6 “to ensure that collective bargaining agreements are interpreted uniformly.” Milne Employees
7 Ass’n v. Sun Carriers, Inc., 960 F.2d 1401, 1407-08 (9th Cir. 1992).

8 The preemptive effect of Section 301 is generally determined with reference to the
9 complaint itself, not the defenses that may or may not be raised by defendant. In Caterpillar,
10 Inc. v. Williams, 482 U.S. 386 (1987), the employer asserted the terms of the CBA as a defense,
11 claiming that plaintiff’s claims under a pre-existing individual employment contract were
12 expressly waived under a provision of the then-applicable CBA. The Supreme Court found that
13 such defenses did not justify removal.

14 It is true that when a defense to a state claim is based on the terms of a collective-
15 bargaining agreement, the state court will have to interpret that agreement to
16 decide whether the state claim survives. But the presence of a federal question,
17 even a § 301 question, in a defensive argument does not overcome the paramount
18 policies embodied in the well-pleaded complaint rule – that the plaintiff is the
19 master of the complaint, that a federal question must appear on the face of the
20 complaint, and that the plaintiff may, by eschewing claims based on federal law,
21 choose to have the cause heard in state court. When a plaintiff invokes a right
22 created by a collective-bargaining agreement, the plaintiff has chosen to plead
23 what we have held must be regarded as a federal claim, and removal is at the
24 defendant’s option. But a defendant cannot, merely by injecting a federal question
25 into an action that asserts what is plainly a state-law claim, transform the action
26 into one arising under federal law, thereby selecting the forum in which the claim
shall be litigated. If a defendant could do so, the plaintiff would be master of
nothing. Congress has long since decided that federal defenses do not provide a
basis for removal.

25 Caterpillar, 482 U.S. at 398-99 (footnote omitted). However, plaintiff cannot avoid proper
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1 federal jurisdiction by “artfully” omitting from the complaint all reference to a governing CBA.
 2 See Young v. Anthony’s Fish Grottos, Inc., 830 F.2d 993, 997 (9th Cir. 1987); Paige v. Henry J.
 3 Kaiser Co., 826 F.2d 857, 860-61 (9th Cir. 1987). If plaintiff’s claim alleges breach of contract
 4 or tort claims in which the resolution “is substantially dependent upon analysis of the terms of
 5 the agreement made between the parties in a labor contract,” federal preemption is proper,
 6 regardless of whether the presence of the CBA appears in the complaint or the answer. See
 7 Allis-Chambers Corp. v. Lueck, 471 U.S. 202, 206 (1985).

8 Plaintiff erroneously argues that because he refused to join IAM, he is not covered
 9 by the CBA. See Motion to Remand at 2 § C. Under Section 19 of the National Labor
 10 Relations Act (“NLRA”), those with religious objections to union membership “shall not be
 11 required to join or financially support any labor organization as a condition of employment.” 29
 12 U.S.C.

13 § 169. The NLRA instead permits religious objectors to pay sums equal to union dues to non-
 14 labor charities. Id. Plaintiff has made charitable contributions in lieu of paying union dues
 15 while employed by defendant. Dec. of Yvette Morgan at ¶ 8. The NLRA does not simply allow
 16 objectors to opt out of a CBA that is otherwise applicable to the objector’s bargaining unit.

17 Plaintiff was at all times part of the bargaining unit covered by the IAM-Boeing
 18 CBA. See Dec. of Yvette Morgan at ¶¶ 2, 4. The CBA provides that IAM is the exclusive
 19 bargaining agent for all production and maintenance employees working for defendant in the
 20 Seattle-Renton Unit. See id. at p. 6. Plaintiff’s position in Assembler Wire Group A was
 21 covered within the bargaining unit represented by IAM. Id. at ¶ 7. Therefore, plaintiff is subject
 22 to the terms of the CBA.

23 Plaintiff’s complaint alleges negligence in the investigation and termination of
 24 plaintiff’s employment. Complaint at ¶¶ 3.1-3.2. Plaintiff later alleges his employment with
 25 defendant “would be based upon private contract...and that their [sic] would be no relationship
 26 with the IAM to Plaintiff’s employment.” Reply at 8. Under Washington law, tort liability for

1 negligent investigation is not cognizable unless a contract imposes a duty. Lambert v.
2 Morehouse, 68 Wn. App. 500, 504 (Div. 1 1993). Moreover, without a formal contract,
3 employment in Washington is terminable at will. Gaglidari v. Denny's Rest., Inc., 117 Wn.2d
4 426, 432 (1991). Thus, plaintiff's "negligence claim merely reasserts, in a tort context, the
5 claim that the plaintiff's discharge breached contractual promises." Lambert, 68 Wn. App. at
6 506.

7 Plaintiff alleges that he has a contract entirely independent of the CBA. Reply at 8
8 § C. Any "independent agreement of employment [concerning a job posting covered by the
9 CBA] could be effective only as part of the collective bargaining agreement." Olguin v.
10 Inspiration Consol. Copper Co., 740 F.2d 1468, 1474 (9th Cir. 1984). See also Young 830 F.2d
11 at 997 (oral agreement that was not part of the CBA could only be effective as part of CBA);
12 Stallcop v. Kaiser Found. Hosp., 820 F.2d 1044, 1048, (9th Cir. 1987) (same). In Young, the
13 court noted that the subject matter of Young's oral contract was a position covered by the CBA,
14 thus any independent agreement could only be effective as a part of the CBA. 830 F.2d at 997.
15 Moreover, Olguin notes that to the extent an independent agreement is inconsistent with the
16 CBA, the CBA must control. 740 F.2d at 1474.

17 In Caterpillar, the Supreme Court stated that "individual employment contracts are
18 not inevitably superseded by any subsequent collective agreement covering an individual
19 employee, and claims based upon them may arise under state law." 482 U.S. at 396. The
20 individual contract negotiated in Caterpillar was for a position not covered by the CBA. Id. at
21 388. The Ninth Circuit has distinguished cases where the independent contract concerned a job
22 position within the bargaining unit covered by the CBA. Beals v. Kiewit Pacific Co., Inc., 114
23 F.3d 892, 894-95 (9th Cir. 1997), *cert. denied*, 522 U.S. 1108 (1998). When Beals agreed to take
24 his position, there was already a CBA in place that covered the position he was taking, thus
25 distinguishing the case from Caterpillar. Here, plaintiff's position in Assembler Wire Group A
26 was included in the bargaining unit covered by the CBA, thus bringing plaintiff's case under

1 Beals, as distinguished from Caterpillar. See Dec. of Yvette Morgan at ¶¶ 4, 7.

2 As a result, plaintiff's claims cannot be adjudicated without interpreting the CBA.
3 See Allis-Chambers Corp. 471 U.S. at 206. The case law makes clear that independent
4 employment contracts entered into concerning positions that are covered by a CBA are only
5 effective in the context of that CBA. See Aguilera, 223 F.3d at 1015; Young, 830 F.2d at 997;
6 Stallcop, 820 F.2d at 1048; Olguin, 740 F.2d at 1474. Independent contracts must be read in
7 conjunction with the CBA in order to see if the two conflict. The terms of the CBA must be
8 interpreted to determine whether defendant is empowered to make independent contracts outside
9 the scope of the CBA. Additionally, the "for cause" provision of the CBA must be interpreted,
10 either in relation to plaintiff's independent contract or on its own, in order to determine whether
11 defendant breached its duty to plaintiff. Harris v. Alumax Mill Prod., Inc., 897 F.2d 400, 403
12 (9th Cir. 1990); Scott v. Machinists Auto. Trades Dist. Lodge No. 190, 827 F.2d 589, 594 (9th
13 Cir. 1987) (per curiam). Therefore Section 301 preemption is available and removal to this
14 Court is proper.

15 For all of the foregoing reasons, Plaintiffs' Motion for Remand is DENIED.

16 DATED this 8th day of April 2005.

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19 Robert S. Lasnik
20 United States District Judge
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